



PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: RUPERT PFALLER ET AL 3 - US  
SERIAL NO.: 10/803,841 GROUP: 1632  
FILED: MARCH 18, 2004  
TITLE: PROCESS FOR PRODUCING SPORIDIOPOLUS RUINENIAE  
STRAINS WITH IMPROVED COENZYME Q10 PRODUCTION

**SUBMISSION OF SUPPLEMENTAL INFORMATION**  
**DISCLOSURE STATEMENT OF DR. HOLGER POTTEN**

MAIL STOP NON-FEE AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants wish to bring to the attention of the Patent Examiner the attached Information Disclosure Statement, duly signed by Dr. Holger Potten, and the references listed on the enclosed Form PTO-1449 and attached thereto. These references were cited in the European Search Report (copy enclosed). It is respectfully requested that the foregoing Information Disclosure Statement be incorporated into the official file of the present patent application.

Respectfully submitted,  
RUPERT PFALLER ET AL - 3 US

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ERF:lgh

Encs: Information Disclosure Statement of Dr. Holger Potten, copy of European Search Report,  
PTO-1449 form and five (5) references

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on October 5, 2004.

  
Maria Guastella

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

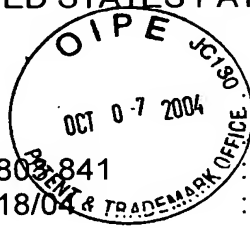
In re application of  
PFALLER EL AL.

Serial Number 10/803,841

Filed: 03/18/04

For:

PROCESS FOR PRODUCING SPORIDIOPOLUS RUINENIAE STRAINS WITH  
IMPROVED COENZYME Q10 PRODUCTION



Group Art Unit: 1636

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Holger Potten, associated with the preparation and prosecution of the above-identified application, residing at Neubiberger Straße 17a, 81737 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed European Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US 4 070 244 A already in English language.

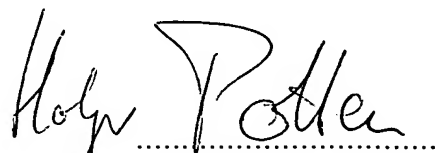
US 4 220 719 A already in English language.

WO 98/37179 A already in English language.

WO 02/05651 A already in English language.

WO 03/045988 A already in English language.

Signed this 24<sup>th</sup> day of September, 2004 .

  
Dr. Holger Potten

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. : CO 10226

SERIAL NO. 10/803,841

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT : PFALLER

FILING DATE: 03/18/04

GROUP: 1636

PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	TRADE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4070244 A	01/24/78	Nakao et al.			
	AB	4220719 A	09/02/80	Aida et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	98/37179 A	08/27/98	International				
	AM	02/05651 A	01/24/02	International				
	AN	03/045988 A	06/05/03	International				
	AO							
	AP							

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		
	AS		
	AT		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.